

DIAGNOSIS AND TREATMENT OF MALIGNANT NEOPLASMS

Statement as to Federally Sponsored Research

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Background of the Invention

10 Primary malignant central nervous system (CNS) neoplasms, particularly glioblastomas, are highly fatal due to their aggressive and widespread infiltration of the brain and resistance to anti-cancer treatments. Although progress has been made in unraveling the pathological mechanisms 15 underlying CNS cancers as well as other cancer types, tumor specific therapeutic approaches and methods of diagnosis have been largely elusive.

Summary of the Invention

The invention features a method for diagnosing a 20 malignant neoplasm in a mammal by contacting a bodily fluid from the mammal with an antibody which binds to an human aspartyl (asparaginyl) beta-hydroxylase (HAAH) polypeptide under conditions sufficient to form an antigen-antibody complex and detecting the antigen-antibody complex. 25 Malignant neoplasms detected in this manner include those derived from endodermal tissue, e.g., colon cancer, breast cancer, pancreatic cancer, liver cancer, and cancer of the bile ducts. Neoplasms of the central nervous system (CNS) such as primary malignant CNS neoplasms of both neuronal and 30 glial cell origin and metastatic CNS neoplasms are also detected. Patient derived tissue samples, e.g., biopsies of solid tumors, as well as bodily fluids such as a CNS-derived bodily fluid, blood, serum, urine, saliva, sputum, lung effusion, and ascites fluid, are contacted with an HAAH- 35 specific antibody.

The assay format is also useful to generate temporal data used for prognosis of malignant disease. A method for prognosis of a malignant neoplasm of a mammal is carried out by (a) contacting a bodily fluid from the mammal with an antibody which binds to an HAAH polypeptide under conditions sufficient to form an antigen-antibody complex and detecting the antigen-antibody complex; (b) quantitating the amount of complex to determine the level of HAAH in the fluid; and (c) comparing the level of HAAH in the fluid with a normal control level of HAAH. An increasing level of HAAH over time indicates a progressive worsening of the disease, and therefore, an adverse prognosis.

The invention also includes an antibody which binds to HAAH. The antibody preferably binds to a site in the carboxyterminal catalytic domain of HAAH. Alternatively, the antibody binds to an epitope that is exposed on the surface of the cell. The antibody is a polyclonal antisera or monoclonal antibody. The invention encompasses not only an intact monoclonal antibody, but also an immunologically-active antibody fragment, e. g. , a Fab or (Fab)<sub>2</sub> fragment; an engineered single chain Fv molecule; or a chimeric molecule, e.g., an antibody which contains the binding specificity of one antibody, e.g., of murine origin, and the remaining portions of another antibody, e.g., of human origin. Preferably the antibody is a monoclonal antibody such as FB50, 5C7, 5E9, 19B, 48A, 74A, 78A, 86A, HA238A, HA221, HA 239, HA241, HA329, or HA355. Antibodies which bind to the same epitopes as those monoclonal antibodies are also within the invention.

An HAAH-specific intrabody is a recombinant single chain HAAH-specific antibody that is expressed inside a target cell, e.g., tumor cell. Such an intrabody binds to endogenous intracellular HAAH and inhibits HAAH enzymatic

activity or prevents HAAH from binding to an intracellular ligand. HAAH-specific intrabodies inhibit intracellular signal transduction, and as a result, inhibit growth of tumors which overexpress HAAH.

5 A kit for diagnosis of a tumor in a mammal contains an HAAH-specific antibody. The diagnostic assay kit is preferentially formulated in a standard two-antibody binding format in which one HAAH-specific antibody captures HAAH in a patient sample and another HAAH-specific antibody is used  
10 to detect captured HAAH. For example, the capture antibody is immobilized on a solid phase, e.g., an assay plate, an assay well, a nitrocellulose membrane, a bead, a dipstick, or a component of an elution column. The second antibody, i.e., the detection antibody, is typically tagged with a  
15 detectable label such as a colorimetric agent or radioisotope.

Also within the invention is a method of inhibiting tumor growth in a mammal, which is carried out by administering to the mammal a compound which inhibits  
20 expression or enzymatic activity of HAAH. Preferably, the compound is substantially pure nucleic acid molecule such as an HAAH antisense DNA, the sequence of which is complementary to a coding sequence of HAAH. Expression of HAAH is inhibited by contacting mammalian cells, e.g., tumor  
25 cells, with HAAH antisense DNA or RNA, e.g., a synthetic HAAH antisense oligonucleotide. For example, HAAH antisense nucleic acid is introduced into glioblastoma cells or other tumor cells which overexpress HAAH. Binding of the antisense nucleic acid to an HAAH transcript in the target  
30 cell results in a reduction in HAAH production by the cell. By the term "antisense nucleic acid" is meant a nucleic acid (RNA or DNA) which is complementary to a portion of an mRNA, and which hybridizes to and prevents translation of the

- mRNA. Preferably, the antisense DNA is complementary to the 5' regulatory sequence or the 5' portion of the coding sequence of HAAH mRNA (e.g., a sequence encoding a signal peptide or a sequence within exon 1 of the HAAH gene).
- 5 Standard techniques of introducing antisense DNA into the cell may be used, including those in which antisense DNA is a template from which an antisense RNA is transcribed. The method is to treat tumors in which expression of HAAH is upregulated, e.g., as a result of malignant transformation 10 of the cells. The length of the oligonucleotide is at least 10 nucleotides and may be as long as the naturally-occurring HAAH transcript. Preferably, the length is between 10 and 50 nucleotides, inclusive. More preferably, the length is between 10 and 20 nucleotides, inclusive.
- 15 By "substantially pure DNA or RNA" is meant that the nucleic acid is free of the genes which, in the naturally- occurring genome of the organism from which the DNA of the invention is derived, flank a HAAH gene. The term therefore includes, for example, a recombinant nucleic acid which is 20 incorporated into a vector, into an autonomously replicating plasmid or virus, or into the genomic DNA of a prokaryote or eucaryote at a site other than its natural site; or which exists as a separate molecule (e.g., a cDNA or a genomic or cDNA fragment produced by PCR or restriction endonuclease 25 digestion) independent of other sequences. It also includes a recombinant nucleic acid which is part of a hybrid gene encoding additional polypeptide sequence such as a nucleic acid encoding an chimeric polypeptide, e.g., one encoding an antibody fragment linked to a cytotoxic polypeptide.
- 30 Alternatively, HAAH expression is inhibited by administering a ribozyme or a compound which inhibits binding of Fos or Jun to an HAAH promoter sequence.

Compounds, which inhibit an enzymatic activity of HAAH, are useful to inhibit tumor growth in a mammal. By enzymatic activity of HAAH is meant hydroxylation of an epidermal growth factor (EGF)-like domain of a polypeptide.

For example an EGF-like domain has the consensus sequence CX<sub>7</sub>CX<sub>4</sub>CX<sub>10</sub>CXCX<sub>8</sub>C (SEQ ID NO:1). HAAH hydroxylase activity is inhibited intracellularly. For example, a dominant negative mutant of HAAH (or a nucleic acid encoding such a mutant) is administered. The dominant negative HAAH mutant contains a mutation which changes a ferrous iron binding site from histidine of a naturally-occurring HAAH sequence to a non-iron-binding amino acid, thereby abolishing the hydroxylase activity of HAAH. The histidine to be mutated, e.g., deleted or substituted, is located in the carboxyterminal catalytic domain of HAAH. For example, the mutation is located between amino acids 650-700 (such as the His motif, underlined sequence of SEQ ID NO:2) the native HAAH sequence. For example, the mutation is at residues 671, 675, 679, or 690 of SEQ ID NO:2. An HAAH-specific intrabody is also useful to bind to HAAH and inhibit intracellular HAAH enzymatic activity, e.g., by binding to an epitope in the catalytic domain of HAAH. Other compounds such as L-mimosine or hydroxypyridone are administered directly into a tumor site or systemically to inhibit HAAH hydroxylase activity.

Table 1: Amino acid sequence of HAAH

MAQRKNAKSS	GNSSSSGSGS	GSTSAGSSSP	GARRETKHGG	HKNGRKGGGLS	GTSFFTWFMV	61
IALLGVWTSV	AVVWFDLVDY	EEVLGKLGIY	DADGDGDFDV	DDAKVLLGLK	ERSTSEPAVP	121
PEEAEPHTEP	EEQVPVEAEP	QNIEDEAKEQ	IQSLLHEMVH	AEHVEGEDLQ	QEDGPTGEPO	181
QEDDEFILMAT	DVDDRFETL	PEVSHEETEH	SYHVEETVSQ	DCNQDMEEMM	SEQENPDSSE	241
PVVEDERLHH	DTDDVTYQVY	EEQAVYEPLE	NEGIEITEVT	APPEDNPVED	SQVIVEEVSI	301
FPVEEQQEVP	PETNRKTDDP	EQKAKVKKKK	PKLLNKFDKT	IKAELDAAEK	LRKRGKIEEA	361
VNAFKELVRK	YPQSPRARYG	KAQCEDDLAE	KRRSNEVLRG	AIETYQEVAS	LPDVPADLLK	421
LSLKRRSDRQ	QFLGHMRGSL	LTLQRLVQLF	PNDTSLKNDL	GVGYLLIGDN	DNAKKVYEEV	481
LSVTPNDGFA	KVHYGFILKA	QNKIAESIPY	LKEGIESGDP	GTDDGRFYFH	LGDAMQRVGN	541
KEAYKWyELG	HKRGHFASVW	QRSLYNVNGL	KAQPWWTPKE	TGYTELVKSL	ERNWKLIIRDE	601
GLAVMDKAKG	LFLPEDENLR	EKGDWQSFTL	WQQGRRRNENA	CKGAPKTCTL	LEKFPETTGC	661
RRGQIKYSIM	HPGTHVWPHT	GPTNCRLRMH	LGLVIPKEGC	KIRCANETRT	WEEGKVLFID	721

DSFEHEVWQD ASSFRLIFIV DVWHPELTPQ QRRSLPAI (SEQ ID NO:2; GENBANK Accession No. S83325; His motif is underlined; conserved sequences within the catalytic domain are designated by bold type)

5 For example, a compound which inhibits HAAH hydroxylation is a polypeptide that binds a HAAH ligand but does not transduce an intracellular signal or a polypeptide which contains a mutation in the catalytic site of HAAH.  
10 Such a polypeptide contains an amino acid sequence that is at least 50% identical to a naturally-occurring HAAH amino acid sequence or a fragment thereof and which has the ability to inhibit HAAH hydroxylation of substrates containing an EGF-like repeat sequence. More preferably, the polypeptide contains an amino acid sequence that is at least 75%, more preferably at least 85%, more preferably at least 95% identical to SEQ ID NO: .

15 A substantially pure HAAH polypeptide or HAAH-derived polypeptide such as a mutated HAAH polypeptide is preferably obtained by expression of a recombinant nucleic acid encoding the polypeptide or by chemically synthesizing the protein. A polypeptide or protein is substantially pure when it is separated from those contaminants which accompany it in its natural state (proteins and other naturally-occurring organic molecules). Typically, the polypeptide is 20 substantially pure when it constitutes at least 60%, by weight, of the protein in the preparation. Preferably, the protein in the preparation is at least 75%, more preferably at least 90%, and most preferably at least 99%, by weight, HAAH. Purity is measured by any appropriate method, e.g., 25 column chromatography, polyacrylamide gel electrophoresis, or HPLC analysis. Accordingly, substantially pure polypeptides include recombinant polypeptides derived from a eucaryote but produced in *E. coli* or another procaryote, or

in a eucaryote other than that from which the polypeptide was originally derived.

Nucleic acid molecules which encode such HAAH or HAAH-derived polypeptides are also within the invention.

Table 2: HAAH cDNA sequence

	cggaccgtgc	<u>aatqgcccag</u>	cgtaagaatg	ccaagagcag	cgccaacagc	agcagcagcg	61
	gctccggcag	cggttagcacg	agtgcgggca	gcagcagccc	ccccggcccg	agagagacaa	121
	agcatggagg	acacaagaat	gggaggaaag	gcggactctc	ggaaacttca	ttcttcacgt	181
	gttttatggt	gattgcattg	ctggcgctct	ggacatctgt	agctgtcggt	tggtttgatc	241
10	ttgttgacta	tgaggaagtt	ctaggaaaac	taggaatcta	tgatgtcgat	ggtgatggag	301
	attttgcgt	ggatgtatgcc	aaagttttat	taggacttaa	agagagatct	acttcagagc	361
	cagcagtccc	gccagaagag	gctgagccac	acactgagcc	cgaggagcag	gttcctgtgg	421
	aggcagaacc	ccagaataatc	gaagatgaag	aaaaagaaca	aattcagtcc	cttctccatg	481
15	aatgttaca	cgcagaacat	gttgggggag	aagacttgc	acaagaagat	ggaccacacag	541
	gagaaccaca	acaaggaggat	gatgatgtttc	ttatggcgcac	tgtatgttagat	gatagatttg	601
	agacccttgg	accttgcgt	tctatcgaa	aaaccggac	tagttaccac	gttggaaagaga	661
	cagtttca	agactgtat	caggatatgg	aagagatgt	gtctgagcag	gaaaatccag	721
	attccagtga	accaggatgt	gaagatgaaa	gattgcacca	tgatatacgat	gtatgtacat	781
20	accaagtcta	tgaggaacaa	gcagtatatg	aacctctaga	aaatgaaggg	atagaaaatca	841
	cagaagtaac	tgctccccct	gaggataatc	ctgtagaaga	ttcacaggtt	attgttagaa	901
	aagtaagcat	ttttctgtg	gaagaacagc	aggaagtacc	accagaaaaca	aatagaaaaaa	961
	cagatgtcc	agaacaaaaaa	gaaaaagttt	agaaaaagaa	gcctaaactt	ttaaataaaat	1021
	ttgataagac	tattaaagct	gaacttgcgt	ctgcagaaaa	actccgtaaa	agggggaaaaaa	1081
25	ttgaggaagc	agtgaatgca	ttaaagaac	tagtacgca	ataccctcag	agtccacagag	1141
	caagatatgg	gaaggcgcag	tgtgaggatg	atttggctga	gaagaggaga	agtaatgagg	1201
	tgctacgtgg	agccatcgcg	accttaccaag	aggtggccag	cctacctgtat	gtccctgtcgag	1261
	acctgtgttt	gctgatgttgc	aagcgtcgct	cagacaggca	acaattttca	ggtcatatga	1321
	gaggttccct	gcttacccctg	cagagattag	ttcaactatt	tcccaatgtat	acttccctaa	1381
30	aaaatgacct	tggcggtgg	taccttctgt	taggatata	tgacaatgtca	aagaaatgtt	1441
	atgaagaggt	gctgatgtgt	acacctaatt	atggcttgc	taaagtccat	tatggcttca	1501
	tcctgttgc	acagaacaaa	attgtcgaga	gcatccata	ttaaaggaa	ggaatagaat	1561
	ccggagatcc	ttgcactgtat	gatggggat	tttatttcca	cctgggggat	gccatgcaga	1621
	gggttgggaa	caaagaggca	tataagtgtt	atgagcttgg	gcacaagaga	ggacactttg	1681
35	catctgtctg	gcaacgctca	ctctacaatg	tgaatggact	gaaagcacag	ccttgggtgg	1741
	ccccaaaaga	aacgggttac	acagagttt	taaagtcttt	agaaagaaaac	tggaaagttaa	1801
	tccgagatgt	aggcccttgc	gtgtatggata	aagccaaagg	tctcttcctgt	cctgaggatg	1861
	aaaacctgtgg	ggaaaaaagg	gactggagcc	agttcacgt	gttggcagca	ggaagaagaa	1921
	atggaaaatgc	ctgcaaaagg	gctctttaaa	cctgtacctt	actagaaaat	ttcccccggaa	1981
40	caacaggatg	cagaagaggaa	catggatgttt	atccatcat	gcacccgggg	actcaatgt	2041
	ggccgcacac	agggcccaca	aactgcggc	tccgaatgtca	cctgggggtt	gtgatttcca	2101
	aggaaggctg	caagattcga	tgtgccaac	agaccaggac	ctggggaggaa	ggcaagggtgc	2161
	tcatcttgc	tgactctttt	gagcacgagg	tatggcaggaa	tgccctatct	ttccgggtga	2221
	tattcatgt	ggatgtgtgg	catccggaaac	tgacaccacaa	gcagagacgc	agcctccag	2281
45	caattttagca	tgaatttgc	caagcttggg	aaactctgtt	gaga		
	(SEQ ID NO:3 ; GENBANK Accession No. S83325; codon encoding initiating methionine is underlined).						

Methods of inhibiting tumor growth also include administering a compound which inhibits HAAH hydroxylation of a NOTCH polypeptide. For example, the compound inhibits hydroxylation of an EGF-like cysteine-rich repeat sequence in a NOTCH polypeptide, e.g., one containing the consensus

sequence CDXXXCXXKXGNGXCDXXCNNAACXXDGXDC (SEQ ID NO:4).

Polypeptides containing an EGF-like cysteine-rich repeat sequence are administered to block hydroxylation of endogenous NOTCH.

5       Growth of a tumor which overexpresses HAAH is also inhibited by administering a compound which inhibits signal transduction through the insulin receptor substrate (IRS) signal transduction pathway. Preferably the compound inhibits IRS phosphorylation. For example, the compound is  
10      a peptide or non-peptide compound which binds to and inhibits phosphorylation at residues 46, 465, 551, 612, 632, 662, 732, 941, 989, or 1012 of SEQ ID NO:5 . Compounds include polypeptides such those which block an IRS phosphorylation site such as a Glu/Tyr site. Antibodies  
15      such as those which bind to a carboxyterminal domain of IRS containing a phosphorylation site block IRS phosphorylation, and as a consequence, signal transduction along the pathway. Inhibition of IRS phosphorylation in turn leads to inhibition of cell proliferation. Other compounds which  
20      inhibit IRS phosphorylation include vitamin D analogue EB1089 and Wortmannin.

HAAH-overproducing tumor cells were shown to express HAAH both intracellularly and on the surface of the tumor cell. Accordingly, a method of killing a tumor cell is  
25      carried out by contacting such a tumor cell with a cytotoxic agent linked to an HAAH-specific antibody. The HAAH-specific antibody (antibody fragment, or ligand which binds to extracellular HAAH) directs the chimeric polypeptide to the surface of the tumor cell allowing the cytotoxic agent  
30      to damage or kill the tumor cell to which the antibody is bound. The monoclonal antibody binds to an epitope of HAAH such as an epitope exposed on the surface of the cell or in

the catalytic site of HAAH. The cytotoxic composition preferentially kills tumor cells compared to non-tumor cell.

Screening methods to identify anti-tumor agents which inhibit the growth of tumors which overexpress HAAH are also within the invention. A screening method used to determine whether a candidate compound inhibits HAAH enzymatic activity includes the following steps: (a) providing a HAAH polypeptide, e.g., a polypeptide which contains the carboxyterminal catalytic site of HAAH; (b) providing a polypeptide comprising an EGF-like domain; (c) contacting the HAAH polypeptide or the EGF-like polypeptide with the candidate compound; and (d) determining hydroxylation of the EGF-like polypeptide of step (b). A decrease in hydroxylation in the presence of the candidate compound compared to that in the absence of said compound indicates that the compound inhibits HAAH hydroxylation of EGF-like domains in proteins such as NOTCH.

Anti-tumor agents which inhibit HAAH activation of NOTCH are identified by (a) providing a cell expressing HAAH; (b) contacting the cell with a candidate compound; and (c) measuring translocation of activated NOTCH to the nucleus of said cell. Translocation is measured by using a reagent such as an antibody which binds to a 110 kDa activation fragment of NOTCH. A decrease in translocation in the presence of the candidate compound compared to that in the absence of the compound indicates that the compound inhibits HAAH activation of NOTCH, thereby inhibiting NOTCH-mediated signal transduction and proliferation of HAAH-overexpressing tumor cells.

Nucleotide and amino acid comparisons described herein were carried out using the Lasergene software package (DNASTAR, Inc., Madison, WI). The MegAlign module used was the Clustal V method (Higgins et al., 1989, CABIOS 5(2):151-

153). The parameter used were gap penalty 10, gap length penalty 10.

Hybridization is carried out using standard techniques, such as those described in Ausubel et al.

5 (*Current Protocols in Molecular Biology*, John Wiley & Sons, 1989). "High stringency" refers to nucleic acid hybridization and wash conditions characterized by high temperature and low salt concentration, e.g., wash conditions of 65°C at a salt concentration of 0.1 X SSC.

10 "Low" to "moderate" stringency refers to DNA hybridization and wash conditions characterized by low temperature and high salt concentration, e.g., wash conditions of less than 60°C at a salt concentration of at least 1.0 X SSC. For example, high stringency conditions include hybridization at 15 42°C in the presence of 50% formamide; a first wash at 65°C in the presence of 2 X SSC and 1% SDS; followed by a second wash at 65°C in the presence of 0.1% x SSC. Lower stringency conditions suitable for detecting DNA sequences having about 50% sequence identity to an HAAH gene sequence are detected by, for example, hybridization at about 42°C in 20 the absence of formamide; a first wash at 42°C, 6 X SSC, and 1% SDS; and a second wash at 50°C, 6 X SSC, and 1% SDS.

Other features and advantages of the invention will be apparent from the following description of the preferred 25 embodiments thereof, and from the claims.

#### Brief Description of the Drawings

Fig. 1 is a bar graph showing colony formation induced by transient transfection of NIH-3T3 cells with various AAH cDNAs. Colony formation was induced by 30 transient transfection with 10 µg DNA. In contrast, the mutant murine AAH construct without enzymatic activity has no transforming activity. The data is presented as mean number of transformed foci ± SEM.

Fig. 2 is a bar graph showing the results of a densitometric analysis of a Western blot assay of proteins produced by various murine AAH stably transfected cell clones. In clones 7 and 18, there was a modest increase in 5 HAAH gene expression, while the overexpression was to a lesser degree in clone 16.

Figs. 3A-B are bar graphs showing colony formation in soft agar exhibited by HAAH stably transfected clones compared to HAAH enzymatic activity. Fig. 3A shows a 10 measurement of murine AAH enzymatic activity in clones 7, 16 and 18, and Fig. 3B shows colony formation exhibited by clones 7, 16 and 18. Data is presented as mean number of colonies 10 days after plating  $\pm$  SEM. All three clones with modest increases in HAAH enzymatic activity, that correlated 15 with protein expression, exhibited anchorage independent growth.

Fig. 4 is a bar graph showing tumor formation in nude mice injected with transfected clones overexpressing murine AAH. Tumor growth was assessed after 30 days. Mean 20 tumor weight observed in mice injected with clones 7, 16 and 18 as compared to mock DNA transfected clone. All animals injected with clones overexpressing HAAH developed tumors.

Figs. 5A-D are bar graphs showing increased AAH expression in PNET2 (Fig. 5A, 5C) and SH-Sy5y (Fig. 5B) 25 cells treated with retinoic acid (Figs. 5A, 5B) or phorbol ester myristate (PMA; Fig. 5C) to induce neurite outgrowth as occurs during tumor cell invasion. The cells were treated with 10  $\mu$ M retinoic acid or 100 nM PMA for 0, 1, 2, 3, 4, or 7 days. Cell lysates were analyzed by Western blot 30 analysis using an HAAH-specific monoclonal antibody to detect the 85 kDa AAH protein. The levels of immunoreactivity were measured by volume densitometry (arbitrary units). The graphs indicate the mean  $\pm$  S.D. of

results obtained from three separate experiments. In Fig. 5D, PNET2 cells were treated for 24 hours with sub-lethal concentrations of H<sub>2</sub>O<sub>2</sub> to induce neurite retraction. Viability of greater than 90% of the cells was demonstrated 5 by Trypan blue dye exclusion. Similar results were obtained for SH-Sy5y cells.

Fig. 6 is a bar graph showing the effects of AAH over-expression on the levels of anti-apoptosis (Bcl-2), cell cycle-mitotic inhibitor (p16 and p21/Waf1), and 10 proliferation (proliferating cell nuclear antigen; PCNA) molecules. PNET2 neuronal cells were stably transfected with the full-length human cDNA encoding AAH (pHAAH) or empty vector (pcDNA). AAH gene expression was under control of a CMV promoter. Western blot analysis was performed with 15 cell lysates prepared from cultures that were 70 to 80 percent confluent. Protein loading was equivalent in each lane. Replicate blots were probed with the different antibodies. Bar graphs depict the mean S.D.'s of protein expression levels measured in three experiments. All 20 differences are statistically significant by Student T-test analysis (P<0.01-P<0.001).

Fig. 7 is a diagram of showing the components of the IRS-1 signal transduction pathway.

Fig. 8 is a line graph showing growth curves 25 generated in cells expressing the antisense HAAH compared to controls expressing GFP.

Fig. 9 is a diagram of the functional domains of the hIRS-1 protein and structural organization of the point mutants. All mutant and "wild type" hIRS-1 proteins 30 construct contain a FLAG (F) epitope (DYKDDDDK; SEQ ID NO:7) at the C-terminus. PH and PTB indicate pleckstrin homology and phosphotyrosine binding, regions, respectively.

#### Detailed Description

HAAH is a protein belonging to the ( $\alpha$ -ketoglutarate dependent dioxygenase family of prolyl and lysyl hydroxylases which play a key role in collagen biosynthesis. This molecule hydroxylates aspartic acid or asparagine residues in EGF-like domains of several proteins in the presence of ferrous iron. These EGF-like domains contain conserved motifs, that form repetitive sequences in proteins such as clotting factors, extracellular matrix proteins, LDL receptor, NOTCH homologues or NOTCH ligand homologues.

The alpha-ketoglutarate-dependent dioxygenase aspartyl (asparaginyl) beta-hydroxylase (AAH) specifically hydroxylates one aspartic or asparagine residue in EGF-like domains of various proteins. The 4.3-kb cDNA encoding the human AspH (hAspH) hybridizes with 2.6 kb and 4.3 kb transcripts in transformed cells, and the deduced amino acid sequence of the larger transcript encodes a protein of about 85 kDa. Both *in vitro* transcription and translation and Western blot analysis also demonstrate a 56-kDa protein that may result from posttranslational cleavage of the catalytic C terminus.

An physiological function of AAH is the post-translational beta-hydroxylation of aspartic acid in vitamin K-dependent coagulation proteins. However, the abundant expression of AAH in several malignant neoplasms, and low levels of AAH in many normal cells indicate a role for this enzyme in malignancy. The AAH gene is also highly expressed in cytotrophoblasts, but not syncytiotrophoblasts of the placenta. Cytotrophoblasts are invasive cells that mediate placental implantation. The increased levels of AAH expression in human cholangiocarcinomas, hepatocellular carcinomas, colon cancers, and breast carcinomas were primarily associated with invasive or metastatic lesions. Moreover, overexpression of AAH does not strictly reflect

increased DNA synthesis and cellular proliferation since high levels of AAH immunoreactivity were observed in 100 percent of cholangiocarcinomas, but not in human or experimental disease processes associated with regeneration  
5 or nonneoplastic proliferation of bile ducts. AAH overexpression and attendant high levels of beta hydroxylase activity lead to invasive growth of transformed neoplastic cells. Detection of an increase in HAAH expression is useful for early and reliable diagnosis of the cancer types  
10 which have now been characterized as overexpressing this gene product.

Diagnosis of malignant tumors

HAAH is overexpressed in many tumors of endodermal origin and in at least 95% of CNS tumors compared to normal noncancerous cells. An increase in HAAH gene product in a patient-derived tissue sample (e.g., solid tissue or bodily fluid) is carried out using standard methods, e.g., by Western blot assays or a quantitative assay such as ELISA. For example, a standard competitive ELISA format using an  
15 HAAH-specific antibody is used to quantify patient HAAH levels. Alternatively, a sandwich ELISA using a first antibody as the capture antibody and a second HAAH-specific antibody as a detection antibody is used.  
20

Methods of detecting HAAH include contacting a component of a bodily fluid with an HAAH-specific antibody bound to solid matrix, e.g., microtiter plate, bead, dipstick. For example, the solid matrix is dipped into a patient-derived sample of a bodily fluid, washed, and the solid matrix is contacted with a reagent to detect the  
25 presence of immune complexes present on the solid matrix.  
30

Proteins in a test sample are immobilized on (bound to) a solid matrix. Methods and means for covalently or noncovalently binding proteins to solid matrices are known

in the art. The nature of the solid surface may vary depending upon the assay format. For assays carried out in microtiter wells, the solid surface is the wall of the well or cup. For assays using beads, the solid surface is the  
5 surface of the bead. In assays using a dipstick (i.e., a solid body made from a porous or fibrous material such as fabric or paper) the surface is the surface of the material from which the dipstick is made. Examples of useful solid supports include nitrocellulose (e.g., in membrane or  
10 microtiter well form), polyvinyl chloride (e.g., in sheets or microtiter wells), polystyrene latex (e.g., in beads or microtiter plates, polyvinylidene fluoride (known as IMMULON™), diazotized paper, nylon membranes, activated beads, and Protein A beads. The solid support containing  
15 the antibody is typically washed after contacting it with the test sample, and prior to detection of bound immune complexes. Incubation of the antibody with the test sample is followed by detection of immune complexes by a detectable label. For example, the label is enzymatic, fluorescent,  
20 chemiluminescent, radioactive, or a dye. Assays which amplify the signals from the immune complex are also known in the art, e.g., assays which utilize biotin and avidin.

An HAAH-detection reagent, e.g., an antibody, is packaged in the form of a kit, which contains one or more  
25 HAAH-specific antibodies, control formulations (positive and/or negative), and/or a detectable label. The assay may be in the form of a standard two-antibody sandwich assay format known in the art.

#### Production of HAAH-specific antibodies

30 Anti-HAAH antibodies were obtained by techniques well known in the art. Such antibodies are polyclonal or monoclonal. Polyclonal antibodies were obtained, for example, by the methods described in Ghose et al., Methods

in Enzymology, Vol. 93, 326-327, 1983. An HAAH polypeptide, or an antigenic fragment thereof, was used as the immunogen to stimulate the production of polyclonal antibodies in the antisera of rabbits, goats, sheep, or rodents. Antigenic 5 polypeptides for production of both polyclonal and monoclonal antibodies useful as immunogens include polypeptides which contain an HAAH catalytic domain. For example, the immunogenic polypeptide is the full-length mature HAAH protein or an HAAH fragment containing the 10 carboxyterminal catalytic domain e.g., an HAAH polypeptide containing the His motif of SEQ ID NO:2.

Antibodies which bind to the same epitopes as those antibodies disclosed herein as identified using standard methods, e.g., competitive binding assays, known in the art.

15 Monoclonal antibodies were obtained by standard techniques. Ten  $\mu$ g of purified recombinant HAAH polypeptide was administered to mice intraperitoneally in complete Freund's adjuvant, followed by a single boost intravenously (into the tail vein) 3-5 months after the initial 20 inoculation. Antibody-producing hybridomas were made using standard methods. To identify those hybridomas producing antibodies that are highly specific for an HAAH polypeptide, hybridomas were screened using the same polypeptide immunogen used to immunize. Those antibodies which were 25 identified as having HAAH-binding activity are also screened for the ability to inhibit HAAH catalytic activity using the enzymatic assays described below. Preferably, the antibody has a binding affinity of at least about  $10^8$  liters/mole and more preferably, an affinity of at least about  $10^9$  30 liters/mole.

Monoclonal antibodies are humanized by methods known in the art, e.g., MAbs with a desired binding specificity can

be commercially humanized (Scotgene, Scotland; Oxford Molecular, Palo Alto, CA).

HAAH-specific intrabodies are produced as follows. Following identification of a hybridoma producing a suitable 5 monoclonal antibody, DNA encoding the antibody is cloned. DNA encoding a single chain HAAH-specific antibody in which heavy and light chain variable domains are separated by a flexible linker peptide is cloned into an expression vector using known methods (e.g., Marasco et al., 1993, Proc. 10 Natl. Acad. Sci. USA 90:7889-7893 and Marasco et al., 1997, Gene Therapy 4:11-15). Such constructs are introduced into cells, e.g., using standard gene delivery techniques for intracellular production of the antibodies. Intracellular antibodies, i.e., intrabodies, are used to 15 inhibit signal transduction by HAAH. Intrabodies which bind to a carboxyterminal catalytic domain of HAAH inhibit the ability of HAAH to hydroxylate EGF-like target sequences.

Methods of linking HAAH-specific antibodies (or fragments thereof) which bind to cell surface exposed 20 epitopes of HAAH on the surface of a tumor cell are linked to known cytotoxic agents, e.g., ricin or diphtheria toxin, using known methods.

#### Methods of treating malignant tumors

Patients with tumors characterized as overexpressing 25 HAAH as such tumors of endodermal origin or CNS tumors are treated by administering HAAH antisense nucleic acids.

Antisense therapy is used to inhibit expression of HAAH in patients suffering from hepatocellular carcinomas, cholangiocarcinomas, glioblastomas and neuroblastomas. For 30 example, an HAAH antisense strand (either RNA or DNA) is directly introduced into the cells in a form that is capable of binding to the mRNA transcripts. Alternatively, a vector containing a sequence which, once within the target

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cells, is transcribed into the appropriate antisense mRNA, may be administered. Antisense nucleic acids which hybridize to target mRNA decrease or inhibit production of the polypeptide product encoded by a gene by associating 5 with the normally single-stranded mRNA transcript, thereby interfering with translation and thus, expression of the protein. For example, DNA containing a promoter, e.g., a tissue-specific or tumor specific promoter, is operably linked to a DNA sequence (an antisense template), which is 10 transcribed into an antisense RNA. By "operably linked" is meant that a coding sequence and a regulatory sequence(s) (i.e., a promoter) are connected in such a way as to permit gene expression when the appropriate molecules (e.g., transcriptional activator proteins) are bound to the 15 regulatory sequence(s).

Oligonucleotides complementary to various portions of HAAH mRNA are tested *in vitro* for their ability to decrease production of HAAH in tumor cells (e.g., using the FOCUS hepatocellular carcinoma (HCC) cell line) according to 20 standard methods. A reduction in HAAH gene product in cells contacted with the candidate antisense composition compared to cells cultured in the absence of the candidate composition is detected using HAAH-specific antibodies or other detection strategies. Sequences which decrease 25 production of HAAH in *in vitro* cell-based or cell-free assays are then be tested *in vivo* in rats or mice to confirm decreased HAAH production in animals with malignant neoplasms.

Antisense therapy is carried out by administering to 30 a patient an antisense nucleic acid by standard vectors and/or gene delivery systems. Suitable gene delivery systems may include liposomes, receptor-mediated delivery systems, naked DNA, and viral vectors such as herpes

viruses, retroviruses, adenoviruses and adeno-associated viruses, among others. A reduction in HAAH production results in a decrease in signal transduction via the IRS signal transduction pathway. A therapeutic nucleic acid  
5 composition is formulated in a pharmaceutically acceptable carrier. The therapeutic composition may also include a gene delivery system as described above. Pharmaceutically acceptable carriers are biologically compatible vehicles which are suitable for administration to an animal: e.g.,  
10 physiological saline. A therapeutically effective amount of a compound is an amount which is capable of producing a medically desirable result such as reduced production of an HAAH gene product or a reduction in tumor growth in a treated animal.

15 Parenteral administration, such as intravenous, subcutaneous, intramuscular, and intraperitoneal delivery routes, may be used to deliver nucleic acids or HAAH-inhibitory peptides or non-peptide compounds. For treatment of CNS tumors, direct infusion into cerebrospinal fluid is  
20 useful. The blood-brain barrier may be compromised in cancer patients, allowing systemically administered drugs to pass through the barrier into the CNS. Liposome formulations of therapeutic compounds may also facilitate passage across the blood-brain barrier.

25 Dosages for any one patient depends upon many factors, including the patient's size, body surface area, age, the particular nucleic acid to be administered, sex, time and route of administration, general health, and other drugs being administered concurrently. Dosage for  
30 intravenous administration of nucleic acids is from approximately  $10^6$  to  $10^{22}$  copies of the nucleic acid molecule.

Ribozyme therapy is also be used to inhibit HAAH gene expression in cancer patients. Ribozymes bind to specific mRNA and then cut it at a predetermined cleavage point, thereby destroying the transcript. These RNA 5 molecules are used to inhibit expression of the HAAH gene according to methods known in the art (Sullivan et al., 1994, J. Invest. Derm. 103:85S-89S; Czubayko et al., 1994, J. Biol. Chem. 269:21358-21363; Mahieu et al., 1994, Blood 84:3758-65; Kobayashi et al. 1994, Cancer Res. 54:1271-10 1275).

Methods of identifying compounds that inhibit HAAH enzymatic activity

Aspartyl (asparaginyl) beta-hydroxylase hydroxylase (AAH) activity is measured *in vitro* or *in vivo*. For example, HAAH catalyzes posttranslational modification of  $\beta$  carbon of aspartyl and asparaginyl residues of EGF-like polypeptide domains. An assay to identify compounds which inhibit hydroxylase activity is carried out by comparing the level of hydroxylation in an enzymatic reaction in which the candidate compound is present compared to a parallel reaction in the absence of the compound (or a predetermined control value). Standard hydroxylase assays carried out in a testtube are known in the art, e.g., Lavaissiere et al., 1996, J. Clin. Invest. 98:1313-1323; Jia et al., 1992, J. Biol. Chem. 267:14322-14327; Wang et al., 1991, J. Biol. Chem. 266:14004-14010; or Gronke et al., 1990, J. Biol. Chem. 265:8558-8565. Hydroxylase activity is also measured using carbon dioxide ( $^{14}\text{CO}_2$  capture assay) in a 96-well microtiter plate format (Zhang et al., 1999, Anal. Biochem. 271:137-142. These assays are readily automated and suitable for high throughput screening of candidate compounds to identify those with hydroxylase inhibitory activity.

Candidate compound which inhibit HAAH activation of NOTCH are identified by detecting a reduction in activated NOTCH in a cell which expresses or overexpresses HAAH, e.g., FOCUS HCC cells. The cells are cultured in the presence of 5 a candidate compound. Parallel cultures are incubated in the absence of the candidate compound. To evaluate whether the compound inhibits HAAH activation of NOTCH, translocation of activated NOTCH to the nucleus of the cell is measured. Translocation is measured by detecting a 110 10 kDa activation fragment of NOTCH in the nucleus of the cell. The activation fragment is cleaved from the large (approximately 300 kDa) transmembrane NOTCH protein upon activation. Methods of measuring NOTCH translocation are known, e.g., those described by Song et al., 1999, Proc. 15 Natl. Acad. Sci U.S.A. 96:6959-6963 or Capobianco et al., 1997, Mol. Cell Biol. 17:6265-6273. A decrease in translocation in the presence of the candidate compound compared to that in the absence of the compound indicates 20 that the compound inhibits HAAH activation of NOTCH, thereby inhibiting NOTCH-mediated signal transduction and proliferation of HAAH-overexpressing tumor cells.

Methods of screening for compounds which inhibit phosphorylation of IRS are carried out by incubating IRS-expressing cells in the presence and absence of a candidate 25 compound and evaluating the level of IRS phosphorylation in the cells. A decrease in phosphorylation in cells cultured in the presence of the compound compared to in the absence of the compound indicates that the compound inhibits IRS-1 phosphorylation, and as a result, growth of HAAH-overexpressing tumors. Alternatively, such compounds are 30 identified in an *in vitro* phosphorylation assay known in the art, e.g., one which measured phosphorylation of a synthetic substrate such as poly (Glu/Tyr).

Example 1: Increased expression of HAAH is associated with malignant transformation

HAAH is a highly conserved enzyme that hydroxylates EGF-like domains in transformation associated proteins. The 5 HAAH gene is overexpressed in human hepatocellular carcinomas and cholangiocarcinomas. HAAH gene expression was found to be undetectable during bile duct proliferation in both human disease and rat models compared to cholangiocarcinoma. Overexpression of HAAH in NIH-3T3 cells 10 was associated with generation of a malignant phenotype, and enzymatic activity was found to be required for cellular transformation. The data described below indicate that overexpression of HAAH is linked to cellular transformation of biliary epithelial cells.

15 To identify molecules that are specifically overexpressed in transformed malignant cells of human hepatocyte origin, the FOCUS hepatocellular carcinoma (HCC) cell line was used as an immunogen to generate monoclonal antibodies (mAb) that specifically or preferentially 20 recognize proteins associated with the malignant phenotype. A lambda GT11 cDNA expression library derived from HepG2 HCC cells was screened, and HAAH-specific mAb produced against the FOCUS cell line was found to recognize an epitope on a protein encoded by an HAAH cDNA. The HAAH enzyme was found 25 to be upregulated in several different human transformed cell lines and tumor tissues compared to adjacent human tissue counterparts. The overexpressed HAAH enzyme in different human malignant tissues was found to be catalytically active.

30 HAAH gene expression was examined in proliferating bile ducts and in NIH 3T3 cells. Its role in the generation of the malignant phenotype was measured by the formation of transformed foci, growth in soft agar as an index of

anchorage independent growth and tumor formation in nude mice. The role of enzymatic activity in the induction of transformed phenotype was measured by using a cDNA construct with a mutation in the catalytic site that abolished hydroxylase activity. The results indicated that an increase in expression of HAAH gene is associated with malignant transformation of bile ducts.

The following materials and methods were used to generate the data described below.

10           Antibodies

The FB50 monoclonal antibody was generated by cellular immunization of Balb/C mice with FOCUS HCC cells. A monoclonal anti-Dengue virus antibody was used as a non-relevant control. The HBOH2 monoclonal antibody was generated against a 52 kDa recombinant HAAH polypeptide and recognizes the catalytic domain of beta-hydroxylase from mouse and human proteins. Polyclonal anti-HAAH antibodies cross-react with rat hydroxylase protein. Control antibody anti-Erk-1 was purchased from Santa Cruz Biotechnology, Inc, CA. Sheep anti-mouse and donkey anti-rabbit antisera labeled with horseradish peroxidase were obtained from Amersham, Arlington Heights, IL.

Constructs

The murine full length AAH construct (pNH376) and the site-directed mutation construct (pNH376-H660) with abolished catalytic activity were cloned into the eukaryotic expression vector pcDNA3 (Invitrogen Corp., San Diego, CA). The full length human AAH was cloned into prokaryotic expression vector pBC-SK+ (Stratagene, La Jolla, CA). The full length human AAH (GENBANK Accession No. S83325) was subcloned into the EcoRI site of the pcDNA3 vector.

Animal model of bile duct proliferation

Rats were divided into 9 separate groups of 3 animals each except for group 9 which contained 5 rats. Group 1 was the non-surgical control group, and group 2 was the sham-operated surgical control. The remaining groups 5 underwent common bile duct ligation to induce intrahepatic bile duct proliferation and were evaluated at 6, 12, 24, 48 hours and 4, 8 and 16 days as shown in Table 3. Animals were asphyxiated with CO<sub>2</sub>, and liver samples were taken from left lateral and median lobes, fixed in 2 % paraformaldehyde 10 and embedded in paraffin. Liver samples (5 µm) were cut and stained with hematoxylin and eosin to evaluate intrahepatic bile duct proliferation. Immunohistochemistry was performed with polyclonal anti-HAAH antibodies that cross-react with the rat protein to determine levels of protein expression.

15           Bile duct proliferation associated with primary sclerosing cholangitis (PSC)

Liver biopsy samples were obtained from 7 individuals with PSC and associated bile duct proliferation. These individuals were evaluated according to standard 20 gastroenterohepatological protocols. Patients were 22-46 years of age and consisted of 4 males and 3 females. Four had associated inflammatory bowel disease (3 ulcerative colitis and 1 Crohn's colitis). All patients underwent a radiological evaluation including abdominal ultrasonography 25 and endoscopic retrograde cholangiopancreaticography to exclude the diagnosis of extrahepatic biliary obstruction. Tissue sections were prepared from paraffin embedded blocks and were evaluated by hematoxylin and eosin staining for bile duct proliferation. Expression of HAAH was determined 30 by immunohistochemistry using an HAAH-specific monoclonal antibody such as FB50.

Immunohistochemistry

Liver tissue sections (5  $\mu$ m) were deparaffinized in xylene and rehydrated in graded alcohol. Endogenous peroxidase activity was quenched by a 30-minute treatment with 0.6 % H<sub>2</sub>O<sub>2</sub> in 60% methanol. Endogenous biotin was  
5 masked by incubation with avidin-biotin blocking solutions (Vector Laboratories, Burlingame, CA). The FB50 mAb (for PSC samples) and polyclonal anti-HAAH-hydroxylase antibodies (for rat liver samples) were added to slides in a humidified chamber at 4°C overnight. Immunohistochemical staining was  
10 performed using a standard avidin-biotin horseradish peroxidase complex (ABC) method using Vectastain Kits with diaminobenzidine (DAB) as the chromogen according to manufacturer's instructions (Vector Laboratories, Inc., Burlingame, CA). Tissue sections were counterstained with  
15 hematoxylin, followed by dehydration in ethanol. Sections were examined by a light microscopy for bile duct proliferation and HAAH protein expression. Paraffin sections of cholangiocarcinoma and placenta were used as positive controls, and hepatosteatosis samples were used as  
20 a negative controls. To control for antibody binding specificity, adjacent sections were immunostained in the absence of a primary antibody, or using non-relevant antibody to Dengue virus. As a positive control for tissue immunoreactivity, adjacent sections of all specimens were  
25 immunostained with monoclonal antibody to glyceraldehyde 3-phosphate dehydrogenase.

Western blot analysis

Cell lysates were prepared in a standard radioimmunoprecipitation assay (RIPA) buffer containing  
30 protease inhibitors. The total amount of protein in the lysates was determined by Bio-Rad colorimetric assay (Bio Rad, Hercules, CA) followed by 10% sodium dodecyl sulphate-polyacrylamide gel electrophoresis (SDS-PAGE),

transferred to PVDF membranes, and subjected to Western blot analysis using FB50, HBOH2, anti-Erk-1 (used as an internal control for protein loading) as primary, sheep anti-mouse and donkey anti-rabbit antisera labeled with horseradish peroxidase as secondary antibodies. Antibody binding was detected with enhanced chemiluminescence reagents (SuperSignal, Pierce Chemical Company, Rockford, IL) and film autoradiography. The levels of immunoreactivity were measured by volume densitometry using NIH Image software.

10        Enzymatic activity assay

AAH activity was measured in cell lysates using the first EGF-like domain of bovine protein S as substrate where  $^{14}\text{C}$ -labeled  $\alpha$ -ketoglutarate hydroxylates the domain releasing  $^{14}\text{C}$  containing CO<sub>2</sub> according to standard methods, e.g., those described by Jia et al., 1992, J. Biol. Chem. 267:14322-14327; Wang et al., 1991, J. Biol. Chem. 266:14004-14010; or Gronke et al., 1990, J. Biol. Chem. 265:8558-8565. Incubations were carried out at 37°C for 30 min in a final volume of 40  $\mu\text{l}$  containing 48  $\mu\text{g}$  of crude cell extract protein and 75  $\mu\text{M}$  EGF substrate.

20        Cell transfection studies

The NIH-3T3 cells were cultured in Dulbecco's modified Eagle's medium (DMEM; Mediatech, Washington, DC) supplemented with 10 % heat-inactivated fetal calf serum (FCS; Sigma Chemical Co., St.Louis, MO), 1% L-glutamine, 1% non-essential amino acids and 1% penicillin-streptomycin (GIBCO BRL, Life Technologies, Inc., Grand Island, NY). Subconfluent NIH-3T3 cells ( $3 \times 10^5$  cells/60-mm dish) were transfected with 10  $\mu\text{g}$  of one of the following plasmids: 1) non-recombinant pcDNA3 vector (Invitrogen Corp., San Diego, CA) as a negative control; 2) pNH376-H660, the murine AAH cDNA that was mutated in the catalytic domain and cloned into the pcDNA3 vector driven by a CMV promoter; 3) pNH376,

the wild type murine AAH cDNA cloned into the pcDNA3 vector; 4) pCDHH, wild type human AAH cDNA cloned into the pcDNA3 vector; or 5) pLNCK-UP1, a cDNA that encodes v-Src oncogene (positive control). Cells were transfected using the 5 calcium phosphate transfection kit according to manufacturer's instructions (5 Prime - 3 Prime, Inc., Boulder, CO). Comparison of cellular transfection efficiency was assessed with the various constructs. For this procedure, confluent plates obtained 48 hours after 10 transfection were split and reseeded into 12 separate 6-cm dishes, and 6 of them were made to grow in the presence of 400 µg/ml G-418 (GIBCO BRL, Life Technologies, Inc., Grant Island, NY) containing medium. The number of G-418 resistant foci was determined at 14 days after transfection 15 and used to correct for any variability in transfection efficiency.

Transformation assay

The NIH-3T3 cells were transfected with the various constructs and allowed to reach confluence after 48 hours as described above. Each 6 cm dish was split and seeded into 12 different 6 cm dishes. While 6 of them were made to grow in the presence of G-418 to detect transfection efficiency, the other six were grown in complete medium without G-418 and with a medium change every 4th day. The number of 25 transformed foci were counted in these plates without G-418 and expressed as transformed foci per µg transfected DNA.

Anchorage-independent cell growth assay

A limiting dilution technique (0.15 cell/well of a flat bottom 96-well-plate) was performed on transfectants 30 grown in G-418 in order to isolate cell clones with different levels of HAAH activity as measured by Western blot analysis and enzymatic assay of hydroxylase activity. Cloned cell lines ( $1.0 \times 10^4$  cells) were suspended in

complete medium containing 0.4 % low-melting agarose (SeaPlaque GTG Agarose; FMC Bioproducts, Rockland, Maine) and laid over a bottom agar mixture consisting of complete medium with 0.53 % low-melting agarose. Each clone was  
5 assayed in triplicate. The clones were seeded under these conditions and 10 days later the size (positive growth > 0.1 mm in diameter) and number of foci were determined.

Tumorigenicity in nude mice

The same clones as assessed in the anchorage  
10 independent growth assay were injected into nude mice and observed for tumor formation. Tumorigenicity was evaluated using 10 animals in each of 4 groups (Charles River Labs., Wilmington, MA). Group 1 received  $1 \times 10^7$  cells stably transfected with mock DNA, Group 2-4 received  $1 \times 10^7$  cells  
15 of clones stable transfected with pNH376 and expressing various levels of murine HAAH protein. Nude mice were kept under pathogen-free conditions in a standard animal facility. Thirty days after tumor cell inoculation, the animals were sacrificed using isofluorane (Aerrane,  
20 Anaquest, NJ) containing chambers and the tumors were carefully removed and weight determined.

Animal model of bile duct proliferation

Following ligation of the common bile duct, intrahepatic bile duct proliferation was evident at 48  
25 hours. Tissue samples obtained 8 and 16 days following common bile duct ligation revealed extensive bile duct proliferation as shown in Table 3.

Table 3: Bile duct proliferation and HAAH expression at different intervals after common bile duct ligation

30	Group	Surgical Procedure	Microscopy*	Immunohistochemistry
	1	no surgery	normal	negative

2	sham surgery	normal	negative
3	6 hours post ligation	normal	negative
4	12 hours post ligation	normal	negative
5	24 hours post ligation	normal	negative
6	48 hours post ligation	minimal bile duct prolif.	negative
7	4 days post ligation	moderate bile duct prolif.	negative
8	8 days post ligation	extensive bile duct prolif.	negative
9	16 days post ligation	extensive bile duct prolif.	negative

\* Investigation was performed under light microscopy following a hematoxylin and eosin staining.

Immunohistochemical staining failed to detect presence of HAAH in proliferating bile ducts at any time. Analysis of HAAH expression in bile ducts derived from sham surgical controls was also negative, while all samples exhibited positive immunoreactivity with control antibodies to glyceraldehyde 3-phosphate dehydrogenase. Thus, bile duct proliferation was not associated with increased HAAH expression in this standard animal model system.

#### HAAH expression in PSC

The liver biopsy specimens from patients with PSC exhibited bile duct proliferation accompanied by periductal fibrosis and a mononuclear inflammatory cell infiltrate without evidence of dysplasia. Adjacent sections immunostained with the an HAAH-specific monoclonal antibody had no detectable HAAH immunoreactivity in proliferating

bile ducts. In contrast, sections of cholangiocarcinoma that were immunostained simultaneously using the same antibody and detection reagents manifested intense levels of HAAH immunoreactivity in nearly all tumor cells, whereas adjacent sections of the cholangiocarcinomas exhibited a negative immunostaining reaction with monoclonal antibody to Dengue virus. These findings indicate that HAAH expression was associated with malignant transformation rather than non-cancerous cellular proliferation of intrahepatic bile ducts.

HAAH associated transformation of NIH-3T3 cells

The transforming capability of the murine and human AAH genes, as well as the murine AAH mutant construct without enzymatic activity were compared to mock DNA (negative control) and v-Src transfected NIH-3T3 cells (positive control). The transforming capability of murine AAH was found to be 2-3 times that of vector DNA control as shown in Fig. 1. The transforming capacity of the human gene was greater than that observed with the murine AAH ( $32 \pm 1.5$  versus  $13 \pm 2.6$  transformed foci, respectively). The murine and human AAH transfected cells formed large foci, resembling those of v-Src transfected fibroblasts, compared to the occasional much smaller foci observed in cells transfected with vector DNA that displayed the contact inhibition of fibroblast cell lines. Parallel experiments performed using the mutant pNH376-H660 construct without enzymatic activity revealed no transforming activity. This finding indicates that the enzymatic activity of HAAH is required for the transforming activity exhibited by the HAAH gene.

Anchorage-independent cell growth assay

After transient transfection with the murine AAH construct, several different transformed foci were isolated

for dilutional cloning experiments to establish stable transfected cell clones with different levels of HAAH gene expression. Nine different cloned cell lines were selected for further study. The expression level of the HAAH protein  
5 was determined by Western blot analysis. Clones 7 and 18 had a modest increase in HAAH protein expression, yet formed large colonies in soft agar (Fig. 2). Protein loading was equivalent in all lanes as shown by immunoblotting of the same membranes with an anti-Erk-1 monoclonal antibody. The  
10 increased protein expression was associated with increased enzymatic activity as shown in Fig. 3. The capability of these clones to exhibit anchorage independent cell growth in soft agar is presented in Fig. 3. All 3 clones with increased HAAH gene expression demonstrated anchorage  
15 independent cell growth compared to the mock DNA transfected clone.

Tumor formation in nude mice

The 3 clones with increased HAAH gene expression were evaluated for the ability to form tumors in nude mice.  
20 Tumor size in the mouse given clone 18 was compared to a mock DNA transfected clone. Clones 7, 16 and 18 were highly transformed in this assay and produced large tumors with a mean weight of 2.5, 0.9 and 1.5 grams, respectively (Fig. 4). These data indicate that overexpression of HAAH  
25 contributes to induction and maintenance of the malignant phenotype *in vivo*.

High level HAAH expression is indicative of malignancy

In order to determine if HAAH expression was  
30 associated with malignancy rather than increased cell turnover, two models of bile duct proliferation were studied. In the animal model, ligation of the common bile duct induced extensive intrahepatic bile duct proliferation,

yet there was no evidence of HAAH gene expression under these experimental conditions as shown in Table 3. Similarly, HAAH gene expression was assessed in a human disease model associated with bile duct proliferation since  
5 PSC is an autoimmune liver disease associated with destruction as well as proliferation of the intra and extrahepatic bile ducts. PSC is premalignant disease, and a significant proportion of affected individuals will eventually develop cholangiocarcinoma. However, no evidence  
10 for increased HAAH gene expression in the presence of extensive bile duct proliferation.

Having established that HAAH protein levels were elevated in cholangiocarcinoma and not in normal or proliferating bile ducts, the role of HAAH in the generation  
15 of a malignant phenotype was studied. The HAAH gene was transfected into NIH-3T3 cells and cellular changes, e.g., increased formation of transformed foci, colony growth in soft agar and tumor formation in nude mice associated with malignant transformation, were evaluated. The full-length  
20 murine and human AAH genes were cloned into expression constructs and transiently transfected into NIH-3T3 cells. An increased number of transformed foci was detected in cells transfected both with the murine and human AAH genes as compared to mock DNA transfected controls. The increased  
25 number of transformed foci, after controlling for transfection efficiency, was not as high compared to v-Src gene transfected cells used as a positive control. The enzymatic activity of the HAAH gene was required for a malignant phenotype because a mutant construct which  
30 abolished the catalytic site had no transforming properties. Several stable transfectants and cloned NIH-3T3 cell lines with a modest increase in HAAH protein levels and enzymatic activity were established. Such cell lines were placed in

soft agar to examine anchorage independent cell growth as another property of the malignant phenotype. All cell lines grew in soft agar compared to mock DNA transfected control, and there was a positive correlation between the cellular  
5 level of HAAH gene expression and the number and size of colonies formed. Three of these cloned cell lines formed tumors in nude mice. All three cell lines with increased HAAH expression were oncogenic as shown by the development of large tumors as another well-known characteristic of the  
10 transformed phenotype.

To determine whether cellular changes induced by overexpression of HAAH were related to the enzymatic function, a site-directed mutation was introduced into the gene that changed the ferrous iron binding site from  
15 histidine to lysine at 660th position of mouse HAAH thereby abolishing hydroxylase activity of the murine HAAH. A corresponding mutation in HAAH is used as a dominant negative mutant to inhibit HAAH hydroxylase activity. The pNH376-H660 construct had no transformation activity  
20 indicating cellular changes of the malignant phenotype induced by overexpression depends on the enzymatic activity of the protein.

Notch receptors and their ligands have several EGF-like domains in the N-terminal region that contain the  
25 putative consensus sequence for beta-hydroxylation. Notch ligands are important elements of the Notch signal transduction pathway and interaction of Notch with its ligands occurs by means of EGF-like domains of both molecules. Point mutations affecting aspartic acid or  
30 asparagine residues in EGF-like domains that are the targets for beta-hydroxylation by HAAH reduce calcium binding and protein-protein interactions involved in the activation of downstream signal transduction pathways. Overexpression of

HAAH and Notch protein hydroxylation by HAAH contributes to malignancy. Tumor growth is inhibited by decreasing Notch protein hydroxylation by HAAH

The data presented herein is evidence that  
5 high-level HAAH expression is linked to malignant transformation. An increase in expression of the HAAH cDNA in NIH-3T3 cells induced a transformed phenotype manifested by increased numbers of transformed foci, anchorage-independent growth, and tumorigenesis in nude  
10 mice. In addition, intact HAAH-enzyme was found to be required for HAAH-associated transformation. Accordingly, inhibition of as little as 20% of endogenous HAAH enzymatic activity or expression confers a therapeutic benefit. For example, clinical benefit is achieved by 50%-70% inhibition  
15 of HAAH expression or activity after administration of an HAAH inhibitory compound compared to the level associated with untreated cancer cell or a normal noncancerous cell.

HAAH is regulated at the level of transcription. Only modest increases in HAAH expression and enzyme activity were required for cellular transformation. These results indicate that increased HAAH gene expression and enzyme activity contribute to the generation or maintenance of the transformed phenotype and that decreasing transcription of the HAAH gene or decreasing enzymatic activity of the HAAH  
25 gene product leads to a decrease in malignancy. Accordingly, HAAH transcription is inhibited by administering compounds which decrease binding of Fos and/or Jun (elements which regulate HAAH transcription) to HAAH promoter sequences.

30 Since HAAH is up-regulated with malignant transformation of bile duct epithelium, and HAAH immunoreactivity is detectable on tumor cell surface membranes, HAAH is also a molecule to which to target a

cytotoxic agent, e.g., by linking the cytotoxic agent to a compound that binds to HAAH expressed on the surface of a tumor cell. Assay of HAAH protein levels in either biological fluids such as bile, or cells obtained by fine  
5 needle aspiration is a diagnostic marker of human cholangiocarcinoma.

Example 2: Expression of AAH and growth and invasiveness of malignant CNS neoplasms

AAH is abundantly expressed in carcinomas and  
10 trophoblastic cells, but not in most normal cells, including those of CNS origin. High levels of AAH expression were observed in 15 of 16 glioblastomas, 8 of 9 anaplastic oligodendroglomas, and 12 of 12 primitive neuroectodermal tumors (PNETs). High levels of AAH immunoreactivity were  
15 primarily localized at the infiltrating edges rather than in the central portions of tumors. Double-label immunohistochemical staining demonstrated a reciprocal relationship between AAH and tenascin, a substrate for AAH enzyme activity. PNET2 neuronal cell lines treated with  
20 phorbol ester myristate or retinoic acid to stimulate neuritic extension and invasive growth exhibited high levels of AAH expression, whereas H<sub>2</sub>O<sub>2</sub>-induced neurite retraction resulted in down-regulation of AAH. PNET2 neuronal cells that stably over-expressed the human AAH cDNA had increased  
25 levels of PCNA and Bcl-2, and reduced levels of p21/Waf1 and p16, suggesting that AAH overexpression results in enhanced pathological cell proliferation, cell cycle progression, and resistance to apoptosis. In addition, the reduced levels of p16 observed in AAH-transfectants indicate that AAH  
30 over-expression confers enhanced invasive growth of neoplastic cells since deletion or down-regulation of the p16 gene correlates with more aggressive and invasive in vivo growth of glioblastomas. Increased AAH

immunoreactivity was detected at the infiltrating margins of primary malignant CNS neoplasms, further indicating a role of HAAH in tumor invasiveness.

The following materials and methods were used to  
5 generate the data described below.

Analysis of AAH Immunoreactivity in Primary Human  
Malignant CNS Neoplasms:

AAH immunoreactivity was examined in surgical resection specimens of glioblastoma (N=16), anaplastic oligodendrogloma (N=9), and primitive neuroectodermal tumor (PNET; supratentorial neuroblastomas (N=3) and medulloblastomas (N=9). The histopathological sections were reviewed to confirm the diagnoses using standard criteria. Paraffin sections from blocks that contained representative samples of viable solid tumor, or tumor with adjacent intact tissue were studied. Sections from normal adult postmortem brains (N=4) were included as negative controls. AAH immunoreactivity was detected using qn HAAH-specific monoclonal antibody. Immunoreactivity was revealed by the avidin-biotin horseradish peroxidase complex method (Vector ABC Elite Kit; Vector Laboratories, Burlingame, CA) using 3-3' diaminobenzidine (DAB) as the chromogen (24) and hematoxylin as a counterstain.

Tenascin and laminin are likely substrates for AAH due to the presence of EGF-like repeats within the molecules. Double-immunostaining studies were performed to co-localize AAH with tenascin or laminin. The AAH immunoreactivity was detected by the ABC method with DAB as the chromogen, and tenascin or laminin immunoreactivity was detected by the avidin-biotin alkaline phosphatase complex method (Vector Laboratories, Burlingame, CA) with BCIP/NBT as the substrate. As positive and negative controls, adjacent sections were immunostained with monoclonal

antibody to glial fibrillary acidic protein (GFAP) and Hepatitis B surface antigen. All specimens were batch immunostained using the same antibody dilutions and immunodetection reagents.

5           Cell Lines and Culture Conditions

Studies were conducted to determine whether AAH expression was modulated with neurite (filopodia) extension (sprouting) as occurs with invasive growth of malignant neoplasms. Human PNET2 CNS-derived and SH-Sy5y neuroblastoma cells were cultured and stimulated for 0, 1, 2, 3, 5, or 7 days with 100 nM phorbol 12-ester 13-acetate or 10  $\mu$ M retinoic acid to induce sprouting. In addition, to examine the effects of neurite retraction on AAH expression, subconfluent cultures were treated for 24 hours with low concentrations (10-40  $\mu$ M) of H<sub>2</sub>O<sub>2</sub>. For both studies, AAH expression was evaluated by Western blot analysis using the an HAAH-specific antibody.

10           Generation of PNET2 AAH-transfected Clones

The full-length human AAH cDNA (SEQ ID NO:3) was ligated into the pcDNA3.1 mammalian expression vector in which gene expression was under the control of a CMV promoter (Invitrogen Corp., San Diego, CA). PNET2 cells were transfected with either pHAAH or pcDNA3 (negative control) using Cellfectin reagent (Gibco BRL, Grand Island, NY). Neomycin-resistant clones were selected for study if the constitutive levels of AAH protein expression were increased by at least two-fold relative to control (pcDNA3) as detected by Western blot analysis. To determine how AAH overexpression altered the expression of genes that modulate the transformed phenotype, the levels of proliferating cell nuclear antigen (PCNA), p53, p21/Waf1, Bcl-2, and p16 were measured in cell lysates prepared from subconfluent cultures of AAH (N=5) and pcDNA3 (N=5) stably transfected clones.

PCNA was used as marker of cell proliferation. p53, p21/Waf1, and Bcl-2 levels were examined to determine whether cells that over-expressed AAH were more prone to cell cycle progression and more resistant to apoptosis. The 5 levels of p16 were assessed to determine whether AAH over-expression has a role in tumor invasiveness.

Western blot analysis

Cells grown in 10 cm<sup>2</sup> dishes were lysed and homogenized in a standard radioimmunoprecipitation assay 10 RIPA buffer containing protease and phosphatase inhibitors. The supernatants collected after centrifuging the samples at 12,000 x g for 10 minutes to remove insoluble debris were used for Western blot analysis. Protein concentration was measured using the BCA assay (Pierce Chemical Co, Rockford, 15 IL). Samples containing 60 µg of protein were electrophoresed in sodium dodecyl sulfate polyacrylamide gels (SDS-PAGE) and subjected to Western blot analysis. Replicate blots were probed with the individual antibodies. Immunoreactivity was detected with horseradish peroxidase 20 conjugated IgG (Pierce Chemical Co, Rockford, IL) and enhanced chemiluminescence reagents. To quantify the levels of protein expression, non-saturated autoradiographs were subjected to volume densitometry using NIH Image software, version 1.6. Statistical comparisons between pHAAH and 25 pcDNA3 transfected cells were made using Student T tests.

Antibodies

HAAH-specific monoclonal antibody generated against the FOCUS hepatocellular carcinoma cells were used to detect AAH immunoreactivity. Monoclonal antibodies to tenascin, 30 and glial fibrillary acidic protein, and rabbit polyclonal antibody to laminin were purchased from Sigma Co (St. Louis, MO). Rabbit polyclonal antibody to human p16 was purchased from Santa Cruz Biotechnology Inc. (Santa Cruz, CA). The

5C3 negative control monoclonal antibody to Hepatitis B surface antigen was generated using recombinant protein and used as a negative control.

AAH immunoreactivity in primary malignant brains

5 tumors

AAH immunoreactivity was detected in 15 of 16 glioblastomas, 8 of 9 anaplastic oligodendroglomas, and all 12 PNETs. AAH immunoreactivity was localized in the cytoplasm, nucleus, and cell processes. The tissue distribution of AAH immunoreactivity was notable for the intense labeling localized at the interfaces between tumor and intact brain, and the conspicuously lower levels of immunoreactivity within the central portions of the tumors. High levels of AAH immunoreactivity were also observed in neoplastic cells distributed in the subpial zones, leptomeninges, Virchow-Robin perivascular spaces, and in individual or small clusters of neoplastic cells that infiltrated the parenchyma. In contrast, AAH immunoreactivity was not detectable in normal brain. The distribution of AAH immunoreactivity appeared not to be strictly correlated with DNA synthesis since the density of nuclei in mitosis (1-5%) was similar in the central and peripheral portions of the tumors.

Relationship between AAH and tenascin

25 immunoreactivity in glioblastomas

Tenascin is an extracellular matrix-associated antigen expressed in malignant gliomas. Tenascin contains EGF-like domains within the molecule, a substrate for HAAH hydroxylation. To localize AAH in relation to tenascin immunoreactivity in malignant brain tumors, double-label immunohistochemical staining was performed in which AAH was detected using a brown chromogen (DAB), and tenascin, a blue chromogen (BCIP/NBT). Adjacent sections were similarly

double-labeled to co-localize AAH with laminin, another EGF domain containing extracellular matrix molecule expressed in the CNS. Intense levels of tenascin immunoreactivity were observed in perivascular connective tissue and in  
5 association with glomeruloid proliferation of endothelial cells. The double-labeling studies demonstrated a reciprocal relationship between AAH and tenascin immunoreactivity such that high levels of AAH were associated with low or undetectable tenascin, and low levels  
10 of AAH were associated with abundant tenascin immunoreactivity. Although laminins are also likely substrates for AAH enzyme activity due to the EGF repeats within the molecules, double labeling studies revealed only low levels of laminin immunoreactivity throughout the tumors  
15 and at interfaces between tumor and intact tissue.

Analysis of AAH expression in neuronal cell lines  
treated with PMA or RA

Neuritic sprouting/filopodia extension marks invasive growth of neoplastic neuronal cells. PMA activates protein kinase C signal transduction pathways that are involved in neuritic sprouting. Retinoic acid binds to its own receptor and the ligand-receptor complex translocates to the nucleus where it binds to specific consensus sequences present in the promoter/enhancer regions of target genes  
20 involved in neuritic growth. Both PNET2 and SH-Sy5y cells can be induced to sprout by treatment with PMA (60-120 nM) or retinoic acid (5-10  $\mu$ M). Figs. 5A-D depict data from representative Western blot autoradiographs; the bar graphs correspond to the mean  $\pm$  S.D. of results obtained from three  
25 experiments. Western blot analysis with the FB50 antibody detected doublet bands corresponding to protein with an molecular mass of approximately 85 kDa. Untreated PNET2 cells had relatively low levels of AAH immunoreactivity  
30

(Fig. 5A), whereas untreated SH-Sy5y cells had readily detected AAH expression (Fig. 5B). Untreated PNET2 cells exhibited polygonal morphology with coarse, short radial cell processes, whereas SH-Sy5y cells were slightly 5 elongated and spontaneously extend fine tapered processes. Both cell lines manifested time-dependent increases in the levels of AAH immunoreactivity following either RA (Figs. 5A and 5B) or PMA (Fig. 5C) stimulation and neurite extension. In PNET2 cells, the levels of AAH protein increased by at 10 least two-fold 24 hours after exposure to RA or PMA, and high levels of AAH were sustained throughout the 7 days of study. In SH-Sy5y cells, the RA- or PMA-stimulated increases in AAH expression occurred more gradually and were highest after 7 days of treatment (Fig. 5B).

15 To examine the effect of AAH expression on neurite retraction, PNET2 and SH-Sy5y cells were treated with low concentrations (8-40  $\mu$ M) of H<sub>2</sub>O<sub>2</sub>. After 24 hours exposure to up to 40  $\mu$ M H<sub>2</sub>O<sub>2</sub>, although most cells remained viable (Trypan blue dye exclusion), they exhibited neurite 20 retraction and rounding. Western blot analysis using the FB50 antibody demonstrated H<sub>2</sub>O<sub>2</sub> dose-dependent reductions in the levels of AAH protein (Fig. 5D).

#### Effects of AAH over-expression in PNET2 cells

To directly assess the role of AAH overexpression in 25 relation to the malignant phenotype, PNET2 cells were stably transfected with the human full-length cDNA with gene expression under control of a CMV promoter (pHAAH). Neomycin-resistant clones that had at least two-fold higher 30 levels of AAH immunoreactivity relative to neomycin-resistant pcDNA3 (mock) clones were studied. Since aggressive behavior of malignant neoplasms is associated with increased DNA synthesis, cell cycle progression, resistance to apoptosis, and invasive growth, the changes in

phenotype associated with constitutive over-expression of AAH were characterized in relation to PCNA, p21/Waf1, p53, Bcl-2, and p16. PCNA was used as an index of DNA synthesis and cell proliferation. p21/Waf1 is a cell cycle inhibitor.

5 Expression of the p53 tumor-suppressor gene increases prior to apoptosis, whereas bcl-2 inhibits apoptosis and enhances survival of neuronal cells. p16 is an oncosuppressor gene that is often either down-regulated or mutated in infiltrating malignant neoplasms.

10 Five pHAAH and 5 pcDNA3 clones were studied.

Increased levels of AAH expression in the pHAAH transfected clones was confirmed by Western (Fig. 6) and Northern blot analyses. Western blot analysis using cell lysates from cultures that were 70 to 80 percent confluent demonstrated

15 that constitutively increased levels of AAH expression (approximately 85 kDa; P<0.05) in pHAAH-transfected cells were associated with significantly increased levels of PCNA (approximately 35 kDa; P<0.01) and Bcl-2 (approximately 25 kDa; P<0.05), and reduced levels of p21/Waf1 (approximately 21 kDa; P<0.001) and p16 (approximately 16 kDa; P<0.001) (Fig. 6). However, the pHAAH stable transfectants also exhibited higher levels of wild-type p53 (approximately 53-55 kDa). Although AAH expression (85 kDa protein) in the stable transfectants was increased by only 20 25 75 to 100 percent, the levels of p16 and p21/Waf1 were sharply reduced, and PCNA increased by nearly two-fold (Fig. 6).

Increased AAH expression is indicative of growth and invasiveness of malignant CNS neoplasms

30 The data described herein demonstrates that AAH overexpression is a diagnostic tool by which to identify primary malignant CNS neoplasms of both neuronal and glial cell origin. Immunohistochemical staining studies

demonstrated that AAH overexpression was detectable mainly at the interfaces between solid tumor and normal tissue, and in infiltrating neoplastic cells distributed in the subpial zones, leptomeninges, perivascular spaces, and parenchyma.

5     *In vitro* experiments demonstrated that AAH gene expression was modulated with neurite (filopodium) extension and invasiveness and down-regulated with neurite retraction. In addition, PNET2 cells stably transfected with the AAH cDNA exhibited increased PCNA and bcl-2, and reduced Waf1/p21 and 10 p16 expression. Therefore, AAH overexpression contributes to the transformed phenotype of CNS cells by modulating the expression of other genes that promote cellular proliferation and cell cycle progression, inhibit apoptosis, or enhance tumor cell invasiveness.

15       The data demonstrated readily detectable AAH mRNA transcripts (4.3 kB and 2.6 kB) and proteins (85 kDa and 50-56 kDa) in PNET2 and SH-Sy5y cells, but not in normal brain. Correspondingly, high levels of AAH immunoreactivity were observed in 35 of the 37 in malignant primary 20 CNS-derived neoplasms studied, whereas the 4 normal control brains had no detectable AAH immunoreactivity. The presence of high-level AAH immunoreactivity at the infiltrating margins and generally not in the central portions of the tumors indicates that AAH overexpression is involved in the 25 invasive growth of CNS neoplasms. Administration of compounds which decrease AAH expression or enzymatic activity inhibits proliferation of CNS tumors which overexpress AAH, as well as metastases of CNS tumors to other tissue types.

30       The AAH enzyme hydroxylates EGF domains of a number of proteins. Tenascin, an extracellular matrix molecule that is abundantly expressed in malignant gliomas, contains EGF-like domains. Since tenascin promotes tumor cell

invasion, its abundant expression in glioblastomas represents an autocrine mechanism of enhanced tumor cell growth vis- $\alpha$ -vis the frequent overexpression of EGF or EGF-like receptors in malignant glial cell neoplasms.

- 5 Analysis of the functional domains of tenascins indicated that the mitogenic effects of this family of molecules are largely mediated by the fibronectin domains, and that the EGF-like domains inhibit growth, cell process elongation, and matrix invasion. Therefore, hydroxylation of the  
10 EGF-like domains by AAH represents an important regulatory factor in tumor cell invasiveness.

Double-label immunohistochemical staining studies demonstrated a reciprocal relationship between AAH and tenascin immunoreactivity such that high levels AAH  
15 immunoreactivity present at the margins of tumors were associated with low levels of tenascin, and low levels of AAH were often associated with high levels of tenascin. These observations indicated that AAH hydroxylation of EGF-like domains of tenascin alters the immunoreactivity of  
20 tenascin protein, and in so doing, facilitates the invasive growth of malignant CNS neoplasms into adjacent normal tissue and perivascular spaces.

AAH immunoreactivity was examined in PNET2 and SH-Sy5y neuronal cells induced to undergo neurite extension  
25 with PMA or retinoic acid, or neurite retraction by exposure to low doses of H<sub>2</sub>O<sub>2</sub>. AAH expression was sharply increased by PMA- or retinoic acid-induced neurite (filopodium) extension, and inhibited by H2O2-induced neurite retraction and cell rounding. Neurite or filopodium extension and  
30 attachment to extracellular matrix are required for tumor cell invasion in the CNS. The EGF-like domains of tenascin inhibit neuritic and glial cell growth into the matrix during development.

To directly examine the role of AAH overexpression in relation to the transformed phenotype, genes modulated with DNA synthesis, cell cycle progression, apoptosis, and tumor invasiveness were examined in neuronal cell clones

5 that stably over-expressed the human AAH cDNA. The findings of increased PCNA and reduced Waf1/p21 immunoreactivity indicated that AAH overexpression enhances cellular proliferation and cell cycle progression. In addition, the finding of increased Bcl-2 expression

10 indicated that AAH overexpression contributes to the transformed phenotype by increasing cellular resistance to apoptosis. The apparently contradictory finding of higher levels of p53 in the cells that overexpressed AAH is explained by the observation that high levels of wildtype

15 p53 in immature neuronal cells were associated with neuritic growth (invasiveness) rather than apoptosis. Levels of p16 were reduced (compared to normal cells) or virtually undetectable in cells that constitutively overexpressed AAH; a deletion mutation of the p16 gene has been correlated with

20 invasive growth and more rapid progression of malignant neoplasms, including those of CNS origin. These data indicate that p16 expression is modulated by AAH.

Example 3: Increased HAAH production and IRS-mediated signal transduction

25 IRS-1 mediated signal transduction pathway is activated in 95% of human HCC tumors compared to the adjacent uninvolved liver tissue. HAAH is a downstream effector gene involved in this signal transduction pathway. HAAH gene upregulation is closely associated with

30 overexpression of IRS-1 in HCC tumors as revealed by immunohistochemical staining and Western blot analysis. A high level of HAAH protein is expressed in HCC and cholangiocarcinoma compared to normal hepatocytes and bile

ducts. Both of these tumors also exhibit high level expression of IRS-1 by immunohistochemical staining. FOCUS HCC cell clones stably transfected with a C-terminal truncated dominant negative mutant of IRS-1, which blocks 5 insulin and IGF-1 stimulated signal transduction, was associated with a striking reduction in HAAH gene expression in liver. In contrast, transgenic mice overexpressing IRS-1 demonstrate an increase in HAAH gene expression by Western blot analysis. Insulin stimulation of FOCUS HCC cells (20 10 and 40 U) in serum free medium and after 16 hr of serum starvation demonstrated upregulation of HAAH gene expression. These data indicate that HAAH gene expression is a downstream effector of the IRS-1 signal transduction pathway.

15 Example 4: Effects of HAAH expression levels on the characteristics of the malignant phenotype

Overexpression of IRS-1 in NIH 3T3 cells induces transformation. The full-length murine HAAH construct was cloned into the pcDNA3 eukaryotic expression vector. A 20 second murine construct encoded HAAH with abolished catalytic activity due to a site directed mutation. The full-length human HAAH cDNA was cloned into the pcDNA3 expression vector as well as a plasmid that encodes v-src which was used as a positive control for transformation 25 activity. Standard methods were used for transfection of NIH 3T3 cells, control for transfection efficiency, assays of HAAH enzymatic activity, transformation by analysis of foci formation, anchorage-independent cell growth assays and analysis of tumorigenicity in nude mice. The data indicate 30 that HAAH overexpression is associated with generation of a malignant phenotype.

Table 4: Overexpression of enzymatically active HAAH indicates malignancy

CDNA	# of foci ± S.D. <sup>b</sup>	NIH 3T3 clone	# of colonies <sup>e</sup>
pcDNA3 (mock)	6.0 ± 3.3	pcDNA (mock)	0.4 ± 0.5
murine HAAH	14.0 ± 2.9	clone 18 <sup>d</sup>	6.2 ± 2.9
mutant murine HAAH <sup>a</sup>	1.6 ± 1.0	clone 16 <sup>e</sup>	4.7 ± 6.5
human HAAH	32.0 ± 5.4		
v-scr	98.0 ± 7.1		

a. enzymatically inactive HAAH

b. P<0.01 compared to mock and mutant murine HAAH

c. P<0.001 compared to mock

d. Clone 18 is a stable cloned NIH 3T3 cell line that overexpresses human HAAH by approximately two fold.

e. Clone 16 is a stable cloned NIH 3T3 cell line that overexpresses human HAAH by about 50%.

These data indicate that overexpression of HAAH is associated with formation of transformed foci. Enzymatic activity is required for cellular transformation to occur. Cloned NIH 3T3 cell lines with increased human HAAH gene expression grew as solid tumors in nude mice. HAAH is a downstream effector gene of the IRS-1 signal transduction pathway.

#### Example 5: Inhibition of HAAH gene expression

The FOCUS HCC cell line from which the human HAAH gene was initially cloned has a level of HAAH expression that is approximately 3-4 fold higher than that found in normal liver. To make an HAAH antisense construct, the full length human HAAH cDNA was inserted in the opposite orientation into a retroviral vector containing a G418 resistant gene, and antisense RNA was produced in the cells. Shorter HAAH antisense nucleic acids, e.g., those

corresponding to exon 1 of the HAAH gene are also used to inhibit HAAH expression.

FOCUS cells were infected with this vector and the level of HAAH was determined by Western blot analysis. A reduction in HAAH gene expression was observed. Growth rate and morphologic appearance of cells infected with a retrovirus containing a nonrelevant Green Fluorescent Protein (GFP) also inserted in the opposite orientation as a control (Fig. 8). Cells (harboring the HAAH antisense construct) exhibited a substantial change in morphology characterized by an increase in the cytoplasm to nuclear ratio as well as assuming cell shape changes that were reminiscent of normal adult hepatocytes in culture. Cells with reduced HAAH levels grew at a substantially slower rate than retroviral infected cells expressing antisense (GFP) (control) as shown in Fig. 8. A reduction in HAAH gene expression was associated with a more differentiated noncancerous "hepatocyte like" phenotype. Expression of HAAH antisense sequences are used to inhibit tumor growth rate. Reduction of HAAH cellular levels results in a phenotype characterized by reduced formation of transformed foci, low level or absent anchorage independent growth in soft agar, morphologic features of differentiated hepatocytes as determined by light and phase contrast microscopy, and no tumor formation (as tested by inoculating the cells into nude mice).

Example 6: Human IRS-1 mutants

Insulin/IGF-1 stimulated expression of HAAH in HCC cell lines. Dominant-negative IRS-1 cDNAs mutated in the plextrin and phosphotryptosine (PTB) domains, and Grb2, Syp and PI3K binding motifs located in the C-terminus of the molecule were constructed. Human IRS-1 mutant constructs were generated to evaluate how HAAH gene expression is

upregulated by activation of the IRS-1 growth factor signal transduction cascade. Specific mutations in the C terminus of the hIRS-1 molecule abolished the various domains which bind to SH2-effector proteins such as Grb2, Syp and PI3K.

5 The human IRS-1 protein contains the same Grb2 and Syp binding motifs of 897YVNI (underlined in Table 5, below) and 1180YIDL (underlined in Table 5, below), respectively, as the rat IRS-1 protein. Mutants of hIRS-1 were constructed by substitution of a TAT codon (tyrosine) with a TTT codon

10 (phenylalanine), in these motifs by use of oligonucleotide-directed mutagenesis using the following primers: (5'-GGGGGAATTGTCAATA-3' (SEQ ID NO:8) and 5'-GAATTGTTAATATTG-3' (SEQ ID NO:9), respectively). The cDNAs of hIRS-1 (wild-type) and mutants (tyrosine

15 897-to-phenylalanine and tyrosine 1180-to-phenylalanine) were subcloned into the pBK-CMV expression vector and designated as hIRS-1-wt, 897F, Δ-Grb2), 1180F, and ΔSyp.

Table 5: Human IRS-1 amino acid sequence

MASPPESDGF	SDVRKVGYLR	KPKSMHKRFF	VLRAASEAGG	PARLEYYYENE	KKWRHKSSAP	61
20 KRSIPL ESCF	NINKRADSKN	KHLVALYTRD	EHFAIAADSE	AEQDSWYQAL	LQLHNRAKGH	121
HDGAAALGAG	GGGGSCSGSS	GLGEAGEDLS	YGDVPPGPAF	KEVWQVILKP	KGLGQTKNLI	181
GIYRLCLTSK	TISFVKLNSE	AAA VVLQLMN	IRRCGHSEN F	FFIEVGRSAV	TGPGEFWMQV	241
DDSVVAQNMH	ETILEAMRAM	SDEFRPRSKS	QSSSNCSNPI	SVPLRRHHILN	NPPPSQVGLT	301
RRSRTESITA	TSPASMVGGK	PGSFRVRASS	DGE GTMSRPA	SDVGSPVSPS	TNRTHAHRHR	361
25 GSARLHPPLN	HSRSPMPAS	RCSPSATSPV	SLSSSSSTSGH	GSTS DCLFPR	RSSASVSGSP	421
SDGGFISSDE	YGSSPCDFRS	SFRS VTPDSL	GHTP PAR GEE	ELS NYICMGG	KGPSTLTAPN	481
GHYILSRGGN	GHRCTPGTGL	GTSP ALAGDE	AASA ADLDNR	FRKRTHSAGT	SPTITHQKTP	541
SOSSVASIEE	YTEMMPAYPP	GGCGSGGR LPG	HRHSAFVPTR	SYPEEGLEMH	PLERRGGHHR	601
30 PDSSTLHTDD	GYMPMSPGV A	PVPS GRKGSG	DYMPMSPKSV	SAPQQI INPI	RRHPQRVDPN	661
GYMMSPSGG	CSPD IGGG PS	SSSSSNAV A	SGTS YGKLWT	NGVGGHHSHV	LPHPKPPVES	721
SGGKLLPCTG	DYM NMSPVGD	SNTSSPSDCY	YGPEDPQHKP	VLS YYSLPRS	FKHTQRPGE P	781
EEGARHQHLR	LSTSSGRLLY	ATADDSSSS	TSSD SLGGGY	CGAR LEPSL P	HPHHQVLQPH	841
LPRKVDTAAQ	TNSRLARPTR	LSLGDPKAST	LPRARE QQQQ	QPLL HPPEP	KSPGEYVNIE	901
FGSDQSGYLS	GPVAFHSSPS	VRCPSQLQPA	PREE ETGTEE	YMKMDLGPG R	RAAWQESTGV	961
35 EMGRLGPAPP	GAASICR PTR	AVPSSRGDYM	TMQM SCPRQS	YVD TSPA APV	SYADMRTGIA	1021
AEEVSLPRAT	MAAASSSSAA	SASPTGPQGA	AELAAHSSL	GGPQGP GGM S	AFTRVNLSPN	1081
RNQSAKVI RA	DPQGCR RRHS	SETFSSTPSA	TRVG NTVPFG	AGAA VGGGG	SSSS SEDVKR	1141
HSSASFEN VW	LRPGE LGGAP	KEPA KLCGAA	GGLE NGLNYI	DLL DVKDFKQ	CPQECTPEPQ	1201
40 PPPPPP PHQP	LGS GE SSS TR	RSSE DLSAYA	SIS FQK QPED	RQ (SEQ ID NO:5; GENBANK		
Accession No.	JS0670;	pleckstrin domain spans residues				
11-113, inclusive;	Phosphate-binding residues include	46,				

465, 551, 612, 632, 662, 732, 941, 989, or 1012 of SEQ ID NO:5)

Table 6: Human IRS-1 cDNA

5	cgccggcgcg gtcggaggg gccggcgcgc agagccagac gcccggctt gtttgggttg 61 gggctctcg caactctccg aggaggagga ggaggaggga ggaggggaga agtaactgca 121 gcggcagcgc cttcccgagg acaggcgtc ttccccaaac cttcccaa cttccccat 181 ccctctege cttgtcccc tccctccct cccagccgc tgagcgagg ggcaggatg 241 agtctgtccc tccggccgtt cccagctgc agtggctgcc cgtatcggt tcgcattggaa 301 aagccactt ctccaccgcg cgagatgggc cggatgggg ctgcagagga cgcccccgc 361
10	gcggcggca gcagcagcag cagcagcagc agcaacagca acagccgcag cgccgcggtc 421 tctgcgactg agtctgttatt tggcggtctg gtggcggtg ggacgggttgg ggggtgggag 481 gaggcgaagg aggaggagga accccgtgca acgttggac ttggcaaccc gcctccccct 541 gccaaggat attaatttg ctcggaaat cgtgttcc agagggaaat tcaggaggaa 601 aggcgcgcgc ggcgcgcgc tcctggagg gaccccgagg gaccccgac tgcgcctcc 661
15	ctgtgcgggca ctccagccgg ggcgcacgaga gatgcattt cgtccctcc tggtgccggc 721 ggcggctgag aggagacttgc gctctcgag gatcggttgc gccctccccc cggacgcact 781 gcctccccgc cggcgtaag cggccgaaaaa ctccggtogg gctctctcc gggctcagca 841 gctgcgtctt cttcagctg cccctccccg ggcggggggg cggcggtggat ttcagatcg 901
20	gggttctgc tgcctccagc cttgtttgca tggccggc cgcggcgagg agcctccgc 961 ccccacccgg ttgttttgc gggctccctt ctgcctcagg ttgggtgtgg cggtgccagc 1021 atggcgagcc ctccggagag cgatggcttc tcggacgtgc gcaagggtgg ctacctgcgc 1081 aaacccaaga gcatgcacaa acgttcttc gtactgcgcg cggccagcga ggctgggggc 1141 ccggcgcgcgc tcgactacta cgagaacgag aagaagtggc ggcacaagtc gagcccccc 1201 aaacgctcga tcccccttga gagctgttttca aacatcaaca agcggggctga ctccaagaac 1261 aagcacctgg tggctctca caccgggac gggacttttgc atacgcggc ggcacgcag 1321 gcccggcaag acagttggta ccaggctctc ctacagctgc acaaccgtgc taaggccac 1381 cagcagcgag ctgcggccct cggggggggg ggtgtgggg gcaagctgcag cggcagctcc 1441 ggccttgggtg aggctggggg gggacttgcg tggccggc tggcccccagg acccgccattc 1501 aaagagggtt ggcaagtgtat cctgaagccc aaggggctgg gtcagacaaa gaacctgatt 1561 gttatctacc gccttgcct gaccagcaag accatcgat tgcgttgc gactcggag 1621 gcagcggccg tgggtgttgc gctgtatgcgatc accaggcgct gtggccactc ggaaaacttc 1681 ttcttcatcg aggtggggcg ttctgcgtg acggggcccg gggagttctg gatcaggtg 1741 gatgactctg tggtgccca gacatgcac gagaccatcc tggaggccat gcccggccatg 1801 agtgtatgt tccgcctcg cggcgacgac cagtctcgat ccaactgttc taacccatc 1861 agcgcccccc tgcgcggca ccatctcaac aatccccggc ccagccagg gggctgacc 1921 cgccgatcac gcaactggag catcccgcc acctccccgg cggatgtt gggcggaaag 1981 ccaggctctt tccgtgtccg cgcctccagt gacggcgaag gcaatgttc cgcggccagcc 2041 tcggtggaacg gcaaggctgt gatgtcccgacc accaacagaa cccacggccca cggcatcg 2101 ggcagcgcgc ggcgtcaccc cccgtcaac cacaggcgct ccatccccat gcccggctcc 2161 40 cgctgcgtcg ctccggccac cagccggcgt agtctgtcg ccaatgcac cagtggccat 2221 ggctccaccc cggattgtctt cttccacgg cgtatcgatgtt cttcggtgtc tggttcccc 2281 agcgatggcg gtttcatctc ctccggatgat tatggctcca gtcctcgat tttccggagt 2341 tccttccgca gtgtcaactcc gatccctctt ggcacaccc caccagcccg cggtgaggag 2401 gagctaaagaa actatatctg catgggtggc aaggggccct caccctgtac cggccccaac 2461 45 ggtcaatca ttttgtctcg ggggtggcaat ggcaccccgct gaccccgatc aacaggcttg 2521 ggcacagatc cagccgtggc tggggatgaa gcaaggatgtc tggccatgtt gataatcg 2581 ttccggaaaga gaactctactc gcaaggccca tccctccatc ttaccaccca gaagaccccg 2641 tcccaggctt cagttggcttc cattggaggat tacacagaga tgcgttgc cttaccacca 2701 ggagggtggca gtggaggccg actggccggca cacaggcact cccgttgcgt gcccacccgc 2761 50 tccttacccatc aggagggtctt gaaatgcac ccctggaggc gtgggggggg gcaccacccgc 2821 ccagacatcg ccaccctcca cacggatgtat ggcataatgc ccatgtcccc aggggtggcc 2881 caagtggccca gtggccgaaa gggcgttggaa gactatatgc ccatgagccc caagagcgta 2941 tctggccacatc agcagatcat caatccatc agacggccatc cccagagat ggacccaaat 3001 ggctacatga tgcgttcccg caggggtggc tgcgttgcgt acattggagg tggcccccggc 3061 55 agcagcagca gcaaggccaa cggccgtccct tccggatgaa gctatggaaa gctgtggaca 3121 aacggggtag gggggccacca ctctcatgtc ttgcctcacc caaacccccc agtggagagc 3181 agcgggtgtt agtcttacc ttgcacaggat gactacatga acatgttacc agtgggggac 3241 tccaacacca gcaaggccctc cggactgtac tacggccctg aggaccccca gcacaagcca 3301

5           gtcctctcct actactcatt gccaagatcc tttaagcaca cccagcgccc cggggagccg 3361  
 gaggagggtg cccgcatac gcaccccgcc ctccacta gctctggtcg ctttctctat 3421  
 gctgcaacag cagatgattt ttcctcttcc accagcagcg acagcctggg tggggatatac 3481  
 tgcggggcta ggctggagcc cagcattcca catccccacc atcaggttct gcagccccat 3541  
 ctgcctcgaa aggtggacac agctgcttag accaatagcc gcctggcccg gcccacgagg 3601  
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 gtcaggtgtc catccagat cccagccaggt cccagagagg aagagactgg cactgaggag 3841  
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 25          cgtcagtagc tcaactggac atcacagcag aatgaagacc taaatgaccc cagcaaatcc 4801  
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 30          caactgtgaca ccagaataat gaggctgtcat aaacttcattc ttcaacccat aggacttagc 5041  
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 35          aacaaggctt aaaagagtag aatagggtat gatccctgtt ttaagattgt aattcagaaa 5401  
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 tactatctt tgtcatataa acctgtatgtt gagctgagtt ctttataaga attaatctt 5521  
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 ttggctgggt gtttcaat gtcagctttaa aatttgtat tgaatggaaag caaaattata 5641  
 40          agaagaggaa attaaagtct tccattgtat gtattgtaaa cagaaggaga tgggtgattc 5701  
 cttcaattca aaagctctt ttggatataa caatgtggc gtttgtaaat tctggaaatg 5761  
 tctttcttata cataataaac tagatactgt tgatctttt aaaaaaaaaaaaaaaa 5821  
 aaaaaaaaaa (SEQ ID NO:6; GENBANK Accession No. NM 005544)

The double mutation of tyrosine 897 and 1180 was  
 45 constructed by replacement of 3'-sequences coding 897F by  
 the same region of 1180F using restriction enzymes NheI and  
 EcoRI, and this construct was called 897F1180F or $\Delta$ Grb2  $\Delta$ Syp.  
 The expression plasmids were under control of a CMV promoter  
 (hIRS-1-wt,  $\Delta$ Grb2,  $\Delta$ Syp,  $\Delta$ Grb2,  $\Delta$ Syp and pBK-CMV (mock) and  
 50 linearized at the 3'-end of poly A signal sequences by MluI  
 restriction enzymes followed by purification. A similar  
 approach was used to change the tyrosine residue to

phenylalanine at positions 613 and 942 to create the double PI3K mutant construct ( $\Delta$ PI3K). The hIRS-1 mutants have a FLAG epitope (DYKDDDDK (SEQ ID NO:6) + stop codon) added to the C-terminus by PCR. This strategy allows to distinguish  
5 the mutant protein from "wild type" hIRS-1 in stable transfected cell lines. The mutants are used to define the link between the IRS signal transduction pathway and activation of HAAH as a downstream effector gene and identify compounds to inhibit transduction along the pathway  
10 to inhibit growth of tumors characterized by HAAH overexpression. Antibodies or other compounds which bind to phosphorylation sites or inhibit phosphorylation at those sites are used to inhibit signal transduction and thus proliferation of HAAH-overexpressing tumors.

15 Other embodiments are within the following claims.  
What is claimed is: